

Appendix I: Chinese stocks listed in U.S. markets

Source is www.adrbny.com, except for current market value from Telerate/Bridge. "Dep" indicates whether American Depositary Receipt (ADR) program is organized through Bank of New York, Citibank, or JP Morgan. "TYPE" is the level of ADR program, with II and III being most demanding. "SPONS" indicates whether the ADR program is sponsored by the company. "DATE" is the effective date of the listing in the U.S. "USD mil" is an estimate of the total value of all shares (not just U.S. listed) at approximately midday on November 27 2001 from Telerate/Bridge. It is missing for companies that do not trade in an organized stock market from which price data is readily available. Several PRC companies that are listed "directly", that is, not as ADRs, are missing from the list. Also included are ADRs for "red chips", that is, PRC controlled entities listed on the Stock Exchange of Hong Kong.

ISSUE	SYMBOL	EXCHANGE	COUNTRY	INDUSTRY	Dep	Type	SPONS	DATE	USD mil
SINOPEC BEIJING YANHUA PETROCHEMICAL CO., LTD.	BYH	NYSE	China	Chemicals	BNY	Level III	S	Jun 25, 1997	165.3
CHINA EASTERN AIRLINES CORPORATION LIMITED	CEA	NYSE	China	Airlines	BNY	Level III	S	Feb 05, 1997	182.0
CHINA MOBILE (HONG KONG) LIMITED	CHL	NYSE	China	Telecom-Data Ntwking	BNY	Level III	S	Oct 23, 1997	47,196.0
CHINA UNICOM LIMITED	CHU	NYSE	China	Telecom-Data Ntwking	BNY	Level III	S	Jun 22, 2000	13,658.4
GUANGSHEN RAILWAY COMPANY LIMITED	GSH	NYSE	China	Transp:Services	MGT	Level III	S	May 01, 1996	221.0
HUANENG POWER INTERNATIONAL, INC.	HNP	NYSE	China	Util-Gas&Electric	MGT	Level III	S	Sep 01, 1997	3,122.5
JILIN CHEMICAL INDUSTRIAL COMPANY LTD.	JCC	NYSE	China	Chemicals	BNY	Level III	S	May 01, 1995	264.4
PETROCHINA COMPANY LIMITED	PTR	NYSE	China	Oil & Gas-Service	BNY	Level III	S	Apr 07, 2000	3,006.6
SINOPEC SHANGHAI PETROCHEMICAL COMPANY LIMITED	SHI	NYSE	China	Chemicals	BNY	Level III	S	Jul 01, 1993	48.1
CHINA PETROLEUM & CHEMICAL CORPORATION - LEVEL III	SNP	NYSE	China	Oil & Gas-Service	CIT	Level III	S	Oct 19, 2000	12,207.5
YANZHOU COAL MINING COMPANY LIMITED	YZC	NYSE	China	Mining & Minerals	BNY	Level III	S	Mar 27, 1998	834.2
CHINA SOUTHERN AIRLINES CO., LTD.	ZNH	NYSE	China	Airlines	BNY	Level III	S	Jul 31, 1997	920.6
NETEASE.COM, INC.	NTESE	NASDAQ	China	Tech:Service	BNY	Level III	S	Jul 06, 2000	19.5
BEIJING DATANG POWER GENERATION COMPANY LIMITED	BJDHY	OTC	China	Util-Gas&Electric	BNY	Level I	S	Sep 04, 2001	
CHINA SHIPPING DEVELOPMENT COMPANY LIMITED	CSDXY	OTC	China	Transp:Services	BNY	Level I	S	Mar 01, 1996	
GUANGZHOU SHIPYARD INTERNATIONAL COMPANY LIMITED	GSHIY	OTC	China	Engineer-Machinery	BNY	Level I	S	Jul 13, 1995	
SHANGHAI WAIGAOQIAO FREE TRADE ZONE	SGOTY	OTC	China	Multi-Industry	BNY	Level I	S	May 01, 1995	
SHANGHAI ERFANGJI CO. LTD.	SHFGY	OTC	China	Engineer-Machinery	BNY	Level I	S	Dec 01, 1993	
SHANGHAI TYRE AND RUBBER CO. LTD.	SIRHY	OTC	China	Indus Gds-Rubb&Plast	BNY	Level I	S	Oct 01, 1995	
SHANGHAI JINQIAO PROCESSING DEV CO. LTD.	SJQIY	OTC	China	Real Estate	BNY	Level I	S	Jul 01, 1996	
SHANGHAI CHLOR-ALKALI CHEMICAL CO., LTD.	SLLBY	OTC	China	Chemicals	BNY	Level I	S	Mar 01, 1994	
SHANGHAI LUJIAZUI FINANCE & TRADE ZONE DEVELOPMENT	SLUJY	OTC	China	Real Estate	BNY	Level I	S	Jul 01, 1996	
SHENZHEN S.E.Z. REAL ESTATE AND PROPERTIES	SZPRY	OTC	China	Real Estate	BNY	Level I	S	Aug 01, 1994	
TSINGTAO BREWERY COMPANY LIMITED	TSGTY	OTC	China	Beverage	BNY	Level I	S	Feb 01, 1996	
CHINA SHIPPING DEVELOPMENT CO., LTD. 144A	CSDWY	PORTAL	China	Transp:Services	BNY	144A	S	Nov 01, 1994	
HARBIN POWER EQUIPMENT COMPANY LTD 144A	HPECYP	PORTAL	China	Electrical Equipment	BNY	144A	S	Dec 01, 1994	
MAANSHAM IRON & STEEL LTD. 144A	MIS	PORTAL	China	Steel	CIT	144A	S	Nov 01, 1993	
QINGLING MOTOR COMPANY, LTD. GDR	QINGDR	PORTAL	China	Auto-Auto Parts	CIT	144A	S	Aug 01, 1994	
TINGYI (CAYMAN ISLANDS) HOLDINGS CORP.	TINGYP	PORTAL	China	Food-Agribus-Tobacco	BNY	144A	S	Feb 01, 1996	
YIZHENG CHEMICAL FIBRE COMPANY 144A	YCF	PORTAL	China	Chemicals	CIT	144A	S	Mar 25, 1994	
ZHEJIANG SOUTHEAST ELECTRIC POWER CO. LTD. 144A	ZHJGYP	PORTAL	China	Electrical Equipment	BNY	144A	S	Sep 19, 1997	
JIANGLING MOTORS CORP. GDR			China	Auto-Auto Parts	CIT	Reg S	S	Sep 01, 1995	
QINGLING MOTOR COMPANY, LTD. REG S			China	Auto-Auto Parts	CIT	Reg S	S	Jul 28, 1993	
ZHEJIANG SOUTHEAST ELECTRIC POWER CO. LTD. REG S			China	Electrical Equipment	BNY	Reg S	S	Sep 19, 1997	
BRILLIANCE CHINA AUTOMOTIVE HOLDINGS LIMITED	CBA	NYSE	Hong Kong	Auto-Auto Parts	BNY	Level II	S	Apr 17, 2000	2,149.1
CHINA NATIONAL OFFSHORE OIL CORPORATION - LEVEL II	CEO	NYSE	Hong Kong	Oil & Gas-Service	MGT	Level III	S	Feb 20, 2001	7,727.1
CHINA RESOURCES ENTERPRISE, LIMITED	CHNRY	OTC	Hong Kong	Multi-Industry	BNY	Level I	S	Oct 18, 1996	
CHINA ONLINE (BERMUDA) LIMITED	COBVY	OTC	Hong Kong	Telecom-Data Ntwking	BNY	Level I	S	May 01, 1994	
GUANGDONG INVESTMENT LIMITED	GGDVY	OTC	Hong Kong	Multi-Industry	CIT	Level I	S	Aug 01, 1994	
GUANGZHOU INVESTMENT	GUAZY	OTC	Hong Kong	Multi-Industry	BNY	Level I	S	Dec 09, 1999	
GUANGNAN (HOLDINGS) LIMITED	GUGNY	OTC	Hong Kong	Food-Agribus-Tobacco	BNY	Level I	S	May 13, 1998	
GREAT WALL CYBERTECH LIMITED	GWCBY	OTC	Hong Kong	Electrical Equipment	BNY	Level I	S	Dec 15, 1997	
GZITIC HUALING HOLDINGS LIMITED	GZHUY	OTC	Hong Kong	Electrical Equipment	BNY	Level I	S	Apr 20, 1997	
JINHUI HOLDINGS COMPANY LIMITED	JHUHY	OTC	Hong Kong	Transp:Services	BNY	Level I	S	Aug 09, 1994	
JINHUI SHIPPING AND TRANSPORTATION LIMITED	JNSTY	OTC	Hong Kong	Transp:Services	BNY	Level I	S	Dec 09, 1999	
SHANGHAI INDUSTRIAL HOLDINGS LIMITED	SGHIY	OTC	Hong Kong	Retailing	BNY	Level I	S	May 16, 2001	